



PATENT  
0756-1603

GR#  
1700  
#11/2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT application of )

Shunpei YAMAZAKI, et al. ) Group: 1104

Serial No.: 08/841,644 ) Examiner: L. Radomsky

Filed: April 30, 1997 )

Title: SEMICONDUCTOR DEVICE AND)  
METHOD FOR FORMING THE )  
SAME )

TC 1700 MAIL ROOM  
APR 19 2000  
RECEIVED

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the references listed on the attached PTO-1449 forms be made of record in the above-identified application. Copies of the references are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Applicants are in receipt of the communication from the Examiner dated April 3, 1999. However, it is Applicants' opinion that the April 3, 1999 communication is not an Action on the merits and therefore submits this Information Disclosure Statement under 37 C.F.R. § 1.97(b)(3). If Applicants' understanding is incorrect, the Office is directed to charge Deposit Account No. 19-2380 (0756-1603).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. "1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380 (0756-1603). A duplicate copy of this sheet is attached.

CERTIFICATE OF MAILING

Respectfully submitted,

NIXON PEABODY LLP

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 13 April 2000  
Melissa McLean

By:

Eric J. Robinson  
Registration No.: 38,285

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TECHNOLOGY CENTER 2800

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